



04-25-05

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PTO/SB/21 (08-03)

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**TRANSMITTAL  
FORM**

(to be used for all correspondence after initial filing)

|   |                             |                               |          |
|---|-----------------------------|-------------------------------|----------|
| <b>TRANSMITTAL<br/>FORM</b><br>(to be used for all correspondence after initial filing) | <b>Application Number</b>   | 10/604,114                    |          |
|   | <b>Filing Date</b>          | June 26, 2003                 |          |
|   | <b>First Named Inventor</b> | THOMPSON, Nathan C.           |          |
|   | <b>Group Art Unit</b>       | 2181                          |          |
|   | <b>Examiner Name</b>        | Not Yet Assigned              |          |
| <b>Total Number of Pages in This Submission</b>   | 4                           | <b>Attorney Docket Number</b> | 1046_028 |

**ENCLOSURES** (check all that apply)

|  |   |  |
|--|---|--|
| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Fee Attached<br><input type="checkbox"/> Amendment / Reply<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Extension of Time Request<br><input type="checkbox"/> Express Abandonment Request<br><input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><input type="checkbox"/> Response to Missing Parts/ Incomplete Application<br><input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s)<br><input type="checkbox"/> Licensing-related Papers<br><input type="checkbox"/> Petition<br><input type="checkbox"/> Petition to Convert to a Provisional Application<br><input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address<br><input type="checkbox"/> Terminal Disclaimer<br><input type="checkbox"/> Request for Refund<br><input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Technology Center (TC)<br><input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences<br><input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)<br><input type="checkbox"/> Proprietary Information<br><input type="checkbox"/> Status Letter<br><input type="checkbox"/> Other Enclosure(s) (please identify below):<br>Return Mailroom Postcard; Statement Under 37 CFR 3.73(b) |
| <b>Remarks</b>   |   | <b>The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.</b>  |

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

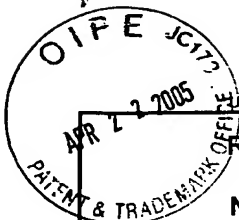
|                                |   |                        |
|--------------------------------|---|------------------------|
| <b>Firm or Individual name</b> | Wall Marjana & Bilinski LLP<br>Indranil Mukerji | <b>Reg. No.</b> 46,944 |
| <b>Signature</b>               |   |                        |
| <b>Date</b>                    | 4/22/05   |                        |

**CERTIFICATE OF MAILING**

|  |                   |                     |
|--|-------------------|---------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service via Express Mail Label No. EV561775737US in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: |                   |                     |
| <b>Typed or printed name</b>   | Cheryl M. Nichols |                     |
| <b>Signature</b>   |                   | <b>Date</b> 4/22/05 |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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AND  
CHANGE OF CORRESPONDENCE ADDRESS**

|                        |                     |
|------------------------|---------------------|
| Application Number     | 10/604,114          |
| Filing Date            | June 26, 2003       |
| First Named Inventor   | THOMPSON, Nathan C. |
| Art Unit               | 2181                |
| Examiner Name          | Not Yet Assigned    |
| Attorney Docket Number | 1046_028            |

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number:  
and also Kenneth Altshuler, Reg. No. 50,551.

20874

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

20874

OR

|   |  |       |  |     |  |
|---|--|-------|--|-----|--|
| <input type="checkbox"/> Firm or<br>Individual Name |  |       |  |     |  |
| Address   |  |       |  |     |  |
| Address   |  |       |  |     |  |
| City  |  | State |  | ZIP |  |
| Country   |  |       |  |     |  |
| Telephone   |  | Fax   |  |     |  |

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

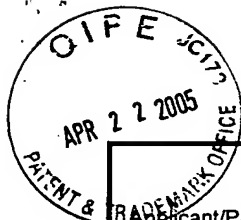
|           |   |           |                |
|-----------|---|-----------|----------------|
| Name      | Brett O. Huston, Vice President and General Counsel for Spectra Logic Corporation |           |                |
| Signature |   |           |                |
| Date      | 04/13/05  | Telephone | (303) 449-6444 |

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☐ \*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Patent Owner: Nathan C. THOMPSON; Ronald M. PERMUT; and Lee A. SUTHERLAND

Application No./Patent No.: 10/604,114

Filed/Issue Date: June 26, 2003

Entitled: MAGAZINE-BASED DATA CARTRIDGE LIBRARY

Spectra Logic Corporation

a corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %  
in the patent application/patent identified above by virtue of either:

A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014194, Frame 0474, or for which a copy thereof is attached.

OR

B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

04/13/05  
Date  
(303) 449-6444  
Telephone number

Brett O. Huston  
Typed or printed name  
[Signature]  
Signature  
Vice President and General Counsel  
Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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